

JC17 Rec'd PCT/PTO 01 MAY 2001

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EK902070162US
"Express Mail" label mailing number

5/1/01
Date of Deposit

Form PTO-1390
(REV 10-95)

U. S. Department of Commerce Patent and Trademark Office

ATTORNEY'S DOCKET NUMBER

HP/2-21868/US/A/PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/830788

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.
PCT/EP 99/07980

INTERNATIONAL FILING DATE
21 October 1999

PRIORITY DATE CLAIMED
2 November 1998

TITLE OF INVENTION

Stabilization of body-care and household products

APPLICANT(S) FOR DO/EO/US

Dietmar Hüglin, Thomas Ehliis, Erich Kramer, Joseph Anthony Lupia and Oliver Reich

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. **(See attached Form PCT/IB/308)**
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English 35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ **A FIRST preliminary amendment.**
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: **(See attached Form PCT/ISA/210)**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/830788		INTERNATIONAL APPLICATION NO. PCT/EP 99/07980		ATTORNEY'S DOCKET NUMBER HP/2-21868/US/A/PCT	
---	--	--	--	---	--

17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):				CALCULATIONS PTO USE ONLY	
Search Report has been prepared by the EPO or JPO \$860.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$750.00					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1000.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$860.00	
Surcharge of \$130.00 for furnishing the oath of declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	15 - 20 =	0	X \$18.00	\$	
Independent claims	2 - 3 =	0	X \$80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$860.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$860.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$860.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be: refunded charged	
				\$ \$860.00	

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

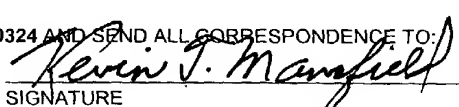
b. ☒ Please charge my Deposit Account No. 03-1935 in the amount of \$860.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1935. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

PLEASE ASSOCIATE THE ATTACHED APPLICATION WITH CUSTOMER NUMBER 000324 AND SEND ALL CORRESPONDENCE TO:

JoAnn Villamizar, Ciba Specialty Chemicals Corporation
 Patent Department
 540 White Plains Road
 P.O. Box 2005
 Tarrytown, NY 10591-9005


 SIGNATURE
 Kevin T. Mansfield
 NAME
 Reg. No. 31,635

MAY 01 2001

CASE HP/2-21868/US/A/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

DIETMAR HÜGLIN ET AL

APPLICATION NO: Not Yet Assigned

FILED: Concurrently Herewith

FOR: STABILIZATION OF BODY-CARE AND
HOUSEHOLD PRODUCTS

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

Applicants present the instant Preliminary Amendment for entry and consideration in order to place the instant application in better condition for examination on its merits.

The Commissioner is authorized to charge any fee due, or credit any overcharge, as a result of this Preliminary Amendment to Deposit Account No. 03-1935.

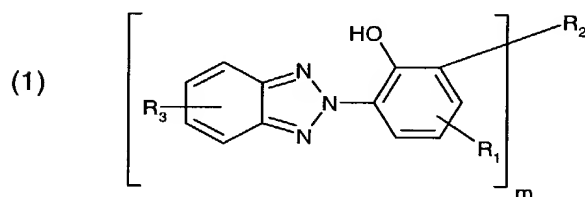
Please amend the above-identified patent application, without prejudice, as follows:

IN THE CLAIMS:

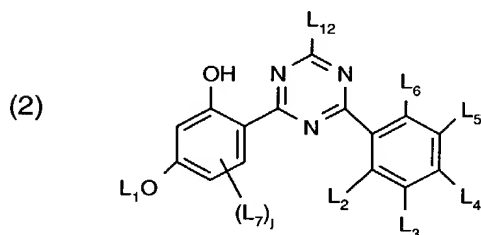
Cancel claims 1-15.

Insert new claims 16-30 as follows:

--16. (new) A method for protecting body-care and household products from photolytic degradation which comprises incorporating into a body care or household product a benzotriazole of formula

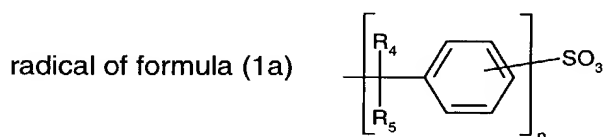


and/or a triazine compound of formula



wherein

R₁ is C₁-C₁₂alkyl; C₁-C₅alkoxy; C₁-C₅alkoxycarbonyl; C₅-C₇cycloalkyl; C₆-C₁₀aryl; aralkyl; -SO₃M; a



R₈ is hydrogen; C₁-C₅alkyl; C₁-C₅alkoxy; halogen, preferably Cl; or hydroxy;

R₄ and R₅ are each independently of the other hydrogen; or C₁-C₅alkyl;

m is 1 or 2;

n is 0 or 1;

if m = 1,

R₂ is hydrogen; unsubstituted or phenyl-substituted C₁-C₁₂alkyl; C₆-C₁₀aryl;

if m = 2,

R_2 is a direct bond; $-(CH_2)_p$; and

p is 1 to 3;

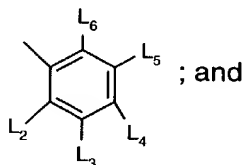
L_1 is C_1 - C_{22} alkyl, C_2 - C_{22} alkenyl or C_5 - C_7 cycloalkyl;

L_2 and L_6 are each independently of the other H, OH, halogen, C_1 - C_{22} alkyl, halomethyl;

L_3 , L_5 and L_7 are each independently of one another H, OH, OL_1 , halogen, C_1 - C_{22} alkyl, halomethyl;

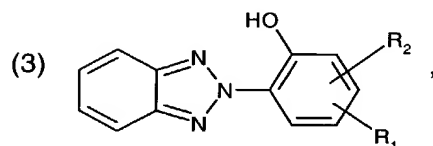
L_4 is H, OH, OL_1 , halogen, C_1 - C_{22} alkyl, phenyl, halomethyl;

L_{12} is C_1 - C_{22} alkyl, phenyl C_1 - C_5 alkyl, C_5 - C_7 cycloalkyl, OL_1 or, preferably, a group of formula



j is 0, 1, 2 or 3.

17. (new) A method according to claim 16, which comprises incorporating a benzotriazole of formula

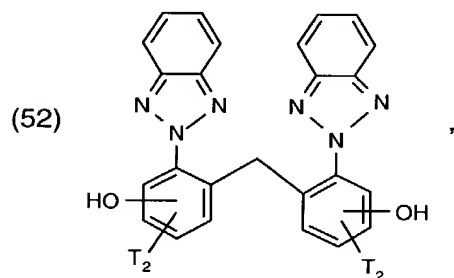


wherein

R_1 is C_1 - C_5 alkyl; and

R_2 is SO_3M .

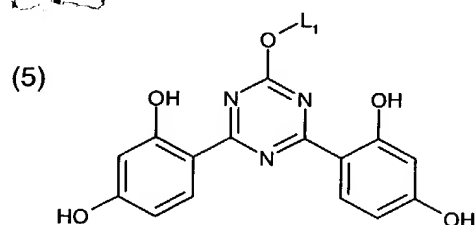
18. (new) A method according to claim 16, which comprises incorporating a benzotriazole of formula



wherein

T_2 is hydrogen; or C_1 - C_{12} alkyl.

19. (new) A method according to claim 16, which comprises incorporating a triazine compound of formula



wherein

L_1 is C_2 - C_{30} alkyl; C_2 - C_{30} alkenyl; C_5 - C_{12} cycloalkyl, C_1 - C_5 alkoxy- C_1 - C_{12} alkyl which is unsubstituted or substituted by one or several C_1 - C_5 alkyl; amino- C_1 - C_{12} alkyl; C_1 - C_5 monoalkylamino- C_1 - C_{12} alkyl; C_1 - C_5 dialkylamino- C_1 - C_{12} alkyl.

20. (new) A method according to claim 16 in which the body-care products are for the skin and its adnexa.

21. (new) A method according to claim 20, wherein the body-care products are selected from skin-care products, bath and shower additives, preparations containing fragrances and odoriferous substances, hair-care products, dentifrices, deodorizing and antiperspirant preparations, decorative preparations, light protection formulations and preparations containing active ingredients.

22. (new) A method according to claim 21, wherein the skin-care products are selected from body oils, body lotions, body gels, treatment creams, skin protection ointments, shaving preparations and skin powders.

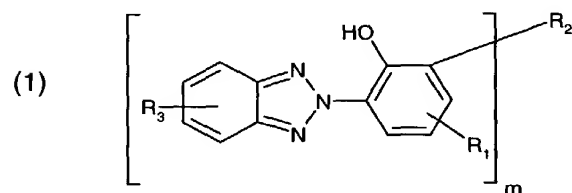
23. (new) A method according to claim 21, wherein the preparations containing fragrances and odoriferous substances are selected from scents, perfumes, toilet waters and shaving lotions (aftershave preparations).

24. (new) A method according to claim 21, wherein the hair-care products are selected from shampoos, hair conditioners, products for styling and treating hair, perming agents, hair sprays and lacquers, hair gels, hair fixatives and hair dyeing or bleaching agents.

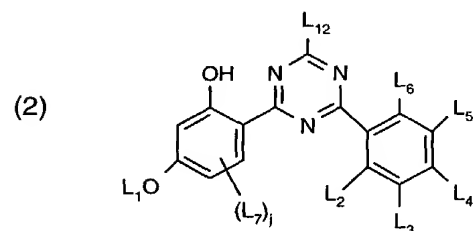
25. (new) A method according to claim 21, wherein the decorative preparations are selected from lipsticks, nail varnishes, eye shadows, mascara, dry and moist make-up, rouge, powders, depilatory agents and suntan lotions.

26. (new) A method according to claim 21, wherein the cosmetic preparations containing active ingredients are selected from hormone preparations, vitamin preparations, vegetable extract preparations and antibacterial preparations.

27. (new) A method for preparation of household cleaning and treating agents which comprises incorporating into a household cleaning and treating agent a benzotriazole of formula

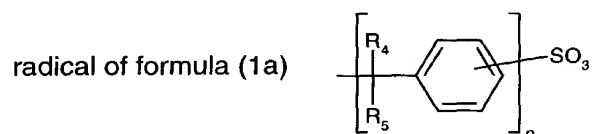


and/or a triazine compound of formula



wherein

R_1 is C_1 - C_{12} alkyl; C_1 - C_5 alkoxy; C_1 - C_5 alkoxycarbonyl; C_5 - C_7 cycloalkyl; C_6 - C_{10} aryl; aralkyl; $-SO_3M$; a



R_8 is hydrogen; C_1 - C_5 alkyl; C_1 - C_5 alkoxy; halogen, preferably Cl; or hydroxy;

R_4 and R_5 are each independently of the other hydrogen; or C_1 - C_5 alkyl;

m is 1 or 2;

n is 0 or 1;

if $m = 1$,

R_2 is hydrogen; unsubstituted or phenyl-substituted C_1 - C_{12} alkyl; C_6 - C_{10} aryl;

if $m = 2$,

R_2 is a direct bond; $-(CH_2)_p$; and

p is 1 to 3;

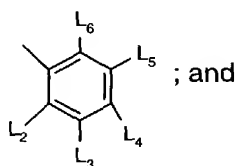
L_1 is C_1 - C_{22} alkyl, C_2 - C_{22} alkenyl or C_5 - C_7 cycloalkyl;

L_2 and L_6 are each independently of the other H, OH, halogen, C_1 - C_{22} alkyl, halomethyl;

L_3 , L_5 and L_7 are each independently of one another H, OH, OL_1 , halogen, C_1 - C_{22} alkyl, halomethyl;

L_4 is H, OH, OL_1 , halogen, C_1 - C_{22} alkyl, phenyl, halomethyl;

L_{12} is C_1 - C_{22} alkyl, phenyl C_1 - C_5 alkyl, C_5 - C_7 cycloalkyl, OL_1 or, preferably, a group of formula



j is 0, 1, 2 or 3.

28. (new) A method according to claim 16, wherein the household cleaning and treating agents are selected from washing, rinsing and dishwashing agents, shoe polishes, polishing waxes, floor detergents and polishes, metal, glass and ceramic cleaners, textile care agents, agents for removing rust, color and stains (stain remover salt), furniture and multipurpose polishes.

29. (new) A body-care product, which comprises at least one light stabilizer of formula (1) or (2) as defined in claim 16.

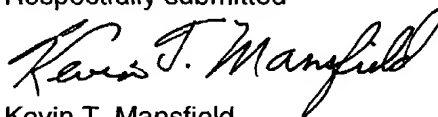
30. (new) A household cleaning and treating agent, which comprises at least one light stabilizer of formula (1) or (2) as defined in claim 16. --

Remarks

Upon entry of the instant Preliminary Amendment, claims 16-30 are pending. Claims 1-15 have been canceled and replaced by new claims 16-30. The original "use" claims have been rewritten using US process claim format. No new matter has been added.

In view of the foregoing amendments, Applicants aver that the instant claims are now in better condition for examination on the merits. Early favorable action is respectfully solicited. If minor amendments will further prosecution, Applicants request that the Examiner contact the undersigned representative.

Respectfully submitted



Kevin T. Mansfield
Attorney for Applicants
Reg. No. 31,635

Ciba Specialty Chemicals Corporation
540 White Plains Road
P.O. Box 2005
Tarrytown, New York 10591-9005
Tel: 914-785-7127
Fax: 914-785-7102

KTM/21868PA

MAY 01 2001

WO 00/25730

- 1 -

Stabilization of body-care and household products

The present invention relates to the use of selected light stabilizers for protecting body-care and household products from photolytic degradation.

The growing product trend of recent years has been to increasingly use transparent (glass) containers for cosmetic formulations and household products. Although both glass and ordinary plastics have a certain inherent absorption in the UV-B-range, the absorption in the UV-A range is very low.

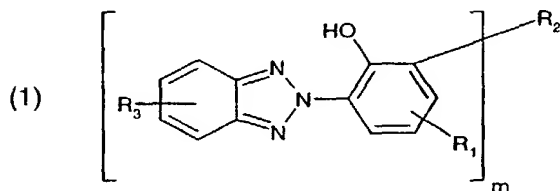
As a consequence, the products change in the course of time through photolytic processes.

This results, for example, in a reduction in viscosity and changes in color or smell.

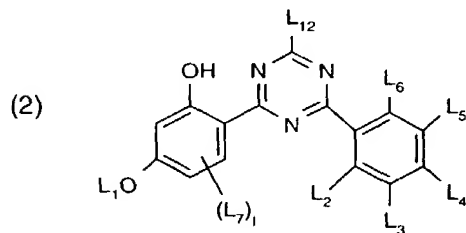
The object of this invention is to provide additives for body-care and household products which prevent photolytic degradation.

Surprisingly, it has been found that specific light stabilizers based on benzotriazole and triazine meet these requirements.

Accordingly, this invention relates to the use of benzotriazoles of formula



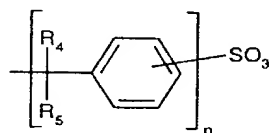
and/or triazine compounds of formula



wherein

R_1 is C_1 - C_{12} alkyl; C_1 - C_5 alkoxy; C_1 - C_5 alkoxycarbonyl; C_5 - C_7 cycloalkyl; C_6 - C_{10} aryl; aralkyl;

$-\text{SO}_3\text{M}$; a radical of formula (1a)



R_8 is hydrogen; C_1 - C_5 alkyl; C_1 - C_5 alkoxy; halogen, preferably Cl; or hydroxy;

R_4 and R_5 are each independently of the other hydrogen; or C_1 - C_5 alkyl;

m is 1 or 2;

n is 0 or 1;

if $m = 1$,

R_2 is hydrogen; unsubstituted or phenyl-substituted C_1 - C_{12} alkyl; C_6 - C_{10} aryl;

if $m = 2$,

R_2 is a direct bond; $-(\text{CH}_2)_p$; and

p is 1 to 3;

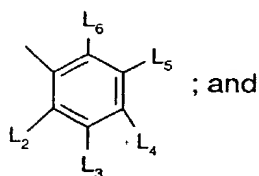
L_1 is C_1 - C_{22} alkyl, C_2 - C_{22} alkenyl or C_5 - C_7 cycloalkyl;

L_2 and L_6 are each independently of the other H, OH, halogen, C_1 - C_{22} alkyl, halomethyl;

L_3 , L_5 and L_7 are each independently of one another H, OH, OL_1 , halogen, C_1 - C_{22} alkyl, halomethyl;

L_4 is H, OH, OL_1 , halogen, C_1 - C_{22} alkyl, phenyl, halomethyl;

L_{12} is C_1 - C_{22} alkyl, phenyl C_1 - C_5 alkyl, C_5 - C_7 cycloalkyl, OL_1 or, preferably, a group of formula



j is 0, 1, 2 or 3,

for protecting body-care and household products from photolytic degradation.

C_1 - C_{22} Alkyl is straight-chain or branched alkyl radicals, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, sec-butyl, tert-butyl, amyl, isoamyl or tert-amyl, heptyl, octyl, isooctyl, nonyl, decyl, undecyl, dodecyl, tetradecyl, pentadecyl, hexadecyl, heptadecyl, octadecyl or eicosyl.

C_1 - C_{22} Alkylthio is straight-chain or branched alkylthio radicals, such as methylthio, ethylthio, n-propylthio, isopropylthio, n-butylthio, sec-butylthio, tert-butylthio, amylthio, heptylthio, octyl-

thio, isooctylthio, nonylthio, decylthio, undecylthio, dodecylthio, tetradecylthio, pentadecylthio, hexadecylthio, heptadecylthio, octadecylthio or eicosylthio.

C_2 - C_{18} Alkenyl is, for example, allyl, methallyl, isopropenyl, 2-butenyl, 3-butenyl, isobutenyl, n-penta-2,4-dienyl, 3-methyl-but-2-enyl, n-oct-2-enyl, n-dodec-2-enyl, isododecenyl, n-dodec-2-enyl or n-octadec-4-enyl.

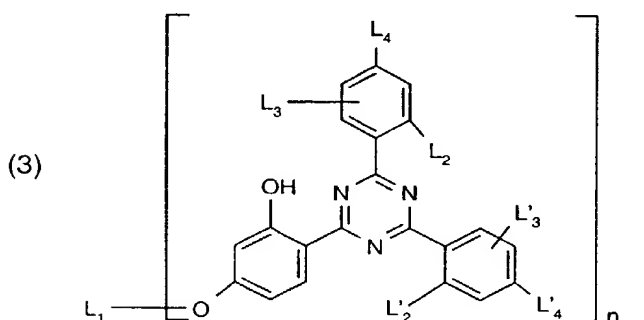
C_5 - C_7 Cycloalkyl is cyclopentyl, cycloheptyl or, preferably, cyclohexyl.

C_7 - C_9 Phenylalkyl is phenylpropyl, phenylethyl and, preferably, benzyl.

If L-substituents are defined as alkyl or alkenyl, or if they are aromatic or aliphatic ring systems, then these contain within the scope of the given meanings usually 1 to 50 carbon atoms and can be interrupted once or several times by O, S, NR' , SO_2 , CO, phenylene, cyclohexylene, COO, OCO, $-(SiR_pR_qO)-$ and/or substituted once or several times by OH, OR' , $NR'R''$, halogen, $-CN$, alkenyl, phenyl, $-SiR_pR_qR_r$ or $COOH$, where R' and R'' are each independently of the other H, alkyl, alkenyl or acyl, and R_p , R_q and R_r are each independently of the other H, alkyl, alkenyl, phenyl, alkoxy, acyl or acyloxy.

The above groups can also carry further substituents. Dimers or polymers are also possible.

Preferred 2-hydroxyphenyltriazines of this class are, for example, those of formulae



wherein

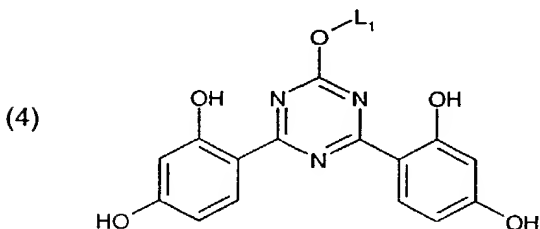
n is 1 or 2, and

L_1 , where $n = 1$, is C_1 - C_{22} alkyl or C_1 - C_{22} alkyl which is interrupted by one or several O and/or substituted by one or several of the radicals OH, glycidyloxy, C_2 - C_{22} alkenoxy, $COOH$,

COOR^e, O-CO-R^f; or C₂-C₂₂alkenyl, C₅-C₇cycloalkyl; phenylalkyl which is unsubstituted or substituted by OH, Cl or CH₃; COR^g; SO₂-R^h; CH₂CH(OH)-Rⁱ; wherein
 R^e is C₁-C₂₂alkyl; C₂-C₂₂alkenyl; C₁-C₂₂hydroxyalkyl; C₁-C₂₂alkyl or C₁-C₂₂hydroxyalkyl which is interrupted by one or several O; C₅-C₇cycloalkyl; benzyl; C₁-C₅alkylphenyl; phenyl; phenyl-C₁-C₅alkyl; furfuryl; or CH₂CH(OH)-Rⁱ;
 R^f, R^g are each independently of the other C₁-C₂₂alkyl, C₂-C₂₂alkenyl or phenyl;
 R^h is C₁-C₂₂alkyl, aryl or alkylaryl;
 Rⁱ is aralkyl or CH₂OR^k;
 R^k is C₅-C₇cyclohexyl, phenyl, tolyl, or benzyl; and
 L₁, where n = 2, is alkylene; alkenylene; xylylene; alkylene or hydroxyalkylene which is interrupted by one or several -O-; hydroxyalkylene;
 L₂ and L'₂ are each independently of the other H, C₁-C₂₂alkyl or OH;
 L₄ and L'₄ are each independently of the other H, alkyl, OH, alkoxy, halogen and, where n = 1, OL₁;
 L₃ and L'₃ are each independently of the other H, C₁-C₂₂alkyl or halogen.

L₁, L₂, L'₂, L₃, L'₃, L₄, L'₄ can within the scope of the cited meanings carry additional substituents, for example an ethylenically unsaturated polymerisable group. Dimers or polymers are also possible.

Very particularly preferred triazine compounds are those of formula

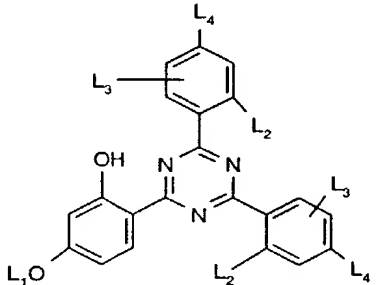


wherein

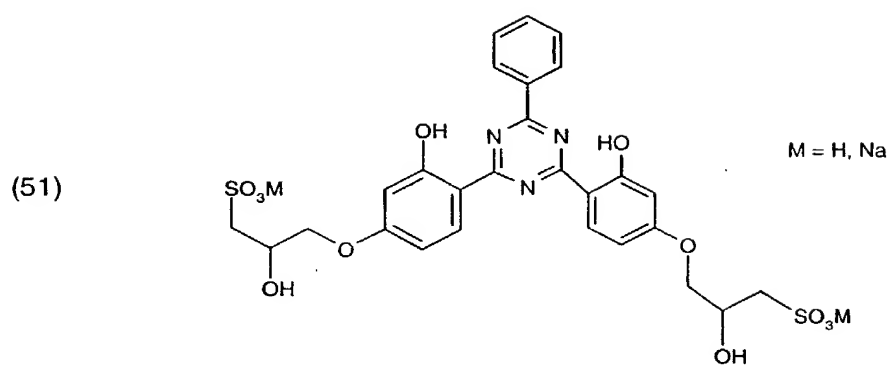
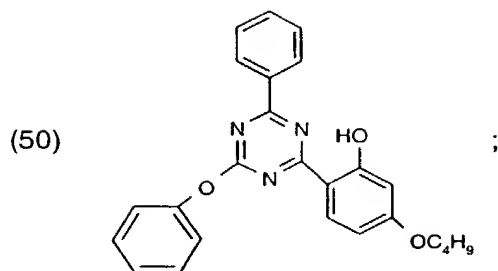
L₁ is C₂-C₃₀alkyl; C₂-C₃₀alkenyl; C₅-C₁₂cycloalkyl, C₁-C₅alkoxy-C₁-C₁₂alkyl which is unsubstituted or substituted by one or several C₁-C₅alkyl; amino-C₁-C₁₂alkyl; C₁-C₅monoalkyl-amino-C₁-C₁₂alkyl; C₁-C₅dialkylamino-C₁-C₁₂alkyl.

Examples of such compounds are, inter alia,

2,4,6-tris(2-hydroxy-4-octyloxyphenyl)-1,3,5-triazine,
 2-(2,4-dihydroxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
 2,4-bis(2-hydroxy-4-propyloxyphenyl)-6-(2,4-dimethylphenyl)-1,3,5-triazine,
 2-(2-hydroxy-4-octyloxyphenyl)-4,6-bis(4-methylphenyl)-1,3,5-triazine,
 2-(2-hydroxy-4-dodecyloxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
 2-[2-hydroxy-4-(2-hydroxy-3-butyloxypropyloxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
 2-[2-hydroxy-4-(2-hydroxy-3-octyloxypropyloxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine,
 2-[2-hydroxy-4-(2-hydroxy-3-tridecyloxypropyloxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine; and compounds of the following formulae:

				
compound of formula	L_1	L_2	L_3	L_4
(5)	$CH_2CH(OH)CH_2O-CO-C(CH_3)=CH_2$	CH_3	CH_3	H
(6)	$CH_2CH(OH)CH_2OC_{12}H_{25}/C_{13}H_{27}$ (mixture)	CH_3	CH_3	H
(7)	$CH_2CH(OH)CH_2O-C_4H_9(n)$	CH_3	CH_3	H
(8)	$CH_2COO-C_{18}H_{37}$	H	H	m- CF_3
(9)	C_8H_{17}	CH_3	CH_3	H
(10)	$CH_2CH(OH)CH(C_2H_5)-C_4H_9(n)$	CH_3	CH_3	H
(11)	H	CH_3	CH_3	H
(12)	CH_2CH_2OH	H	H	H
(13)	C_6H_{13}	H		H
(14)	$C_{18}H_{37}$	CH_3	CH_3	o- CH_3
(15)	$CH_2CH(OH)CH_2O-C_4H_9(n)$	H	H	H
(16)	$CH(OH)-C_5H_{11}(n)$	CH_3	CH_3	o- CH_3

<u>compound of formula</u>	<u>L₁</u>	<u>L</u>	<u>L₄</u>	<u>L</u>
(17)	C ₈ H ₁₇	H	Cl	H
(18)	CH(CH ₃)-COO-C ₂ H ₅	CH ₃	CH ₃	o-CH ₃
(19)	CH ₂ CH(OCOCH ₃)CH(C ₂ H ₅)-C ₄ H ₉ (n)	H	H	H
(20)	CH ₂ CH(OH)CH(C ₂ H ₅)-C ₄ H ₉ (n)	H	H	H
(21)	CH ₂ CH ₂ -O-CO-C(CH ₃) ₃	H	H	H
(22)	H	H	H	H
(23)	(CH ₂) ₁₀ COO-C ₂ H ₅	H	Cl	H
(24)	(CH ₂) ₅ COOH	H	H	H
(25)	CH ₂ CH(C ₂ H ₅)-C ₄ H ₉ (n)	H	H	H
(26)	CH ₂ CH(OH)CH ₂ -O-C ₄ H ₉ (n)	H	H	t-C ₄ H ₉ H
(27)	CH ₂ CH(OH)CH ₂ -O-C ₄ H ₉ (n)	H	H	OCH ₃ H
(28)	(CH ₂) ₃ -Si(CH ₃) ₃	H	H	H
(29)	cyclohexyl			
(30)	CH ₂ CH(OH)CH ₂ -O-2-butyl/2-pentyl (mixture)			
(31)	CH ₂ CH(OH)CH ₂ -O-C ₄ H ₉ (n)			
(32)	(CH ₂) ₁₀ COO-C ₂ H ₅			
(33)	C ₄ H ₉			
(34)	CH ₂ CH(OH)CH(C ₂ H ₅)-C ₄ H ₉ (n)			
(35)	CH(C ₃ H ₇) ₂			
(36)	cyclopentyl			
(37)	C(CH ₃) ₂ -COO-C ₂ H ₅			
(38)	CH(CH ₃)-COO-C ₂ H ₅			
(39)	(CH ₂) ₅ -CH ₃			
(40)	CH ₃	OCH ₃		

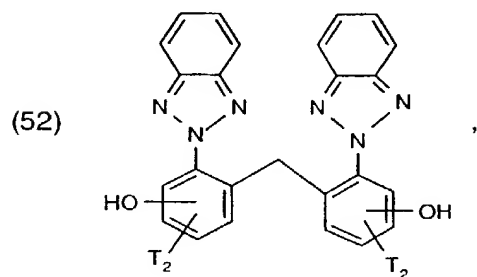


and hydroxyphenyltriazines which are sulfonated in the benzo nucleus.

Abbreviations used in the above formulae:

i = isomeric mixture; n = straight-chain radical; t = tertiary radical; o-, m-, p- designates the position of the radical relative to the triazine ring.

Preferably used benzotriazole compounds conform to formula



wherein

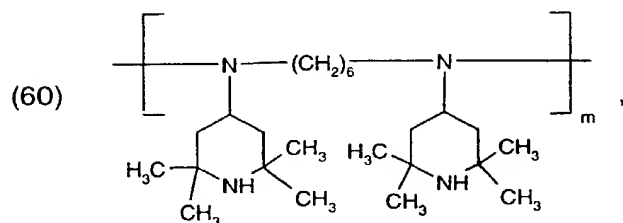
T₂ is hydrogen or C₁-C₁₂alkyl.

Examples of benzotriazole compounds used in accordance with this invention:

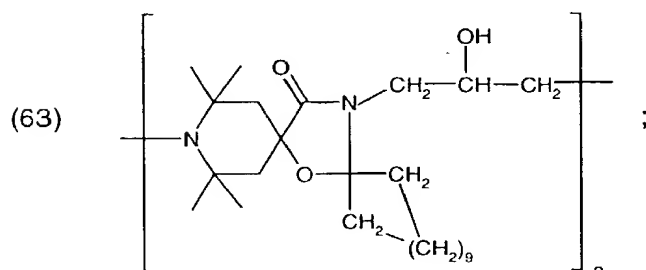
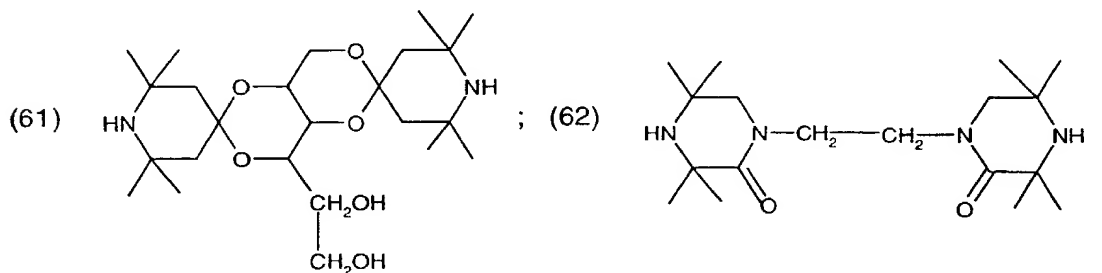
The light stabilizers of formulae (1) and (2) can also be used together with tocopherol or tocopherol acetate.

bis(2,2,6,6-tetramethylpiperidin-4-yl)sebacate, bis(2,2,6,6-tetramethylpiperidin-4-yl)succinate, bis(1,2,2,6,6-pentamethylpiperidin-4-yl)sebacate, bis(1-octyloxy-2,2,6,6-tetramethylpiperidin-4-yl)sebacate, n-butyl-3,5-di-tert-butyl-4-hydroxybenzylmalonic acid-bis(1,2,2,6,6-pentamethylpiperidyl)ester, the condensate of 1-hydroxyethyl-2,2,6,6-tetramethyl-4-hydroxypiperidine and succinic acid, the condensate of N,N'-bis(2,2,6,6-tetramethyl-4-piperidyl)hexamethylenediamine and 4-tert-octylamino-2,6-dichloro-1,3,5-s-triazine, tris(2,2,6,6-tetramethyl-4-piperidyl)nitrilotriacetate, tetrakis(2,2,6,6-tetramethyl-4-piperidyl)-1,2,3,4-butanetetraoate, 1,1'-(1,2-ethanediyl)-bis(3,3,5,5-tetramethylpiperazinone), 4-benzoyl-2,2,6,6-tetramethylpiperidine, 4-stearyloxy-2,2,6,6-tetramethylpiperidine, bis(1,2,2,6,6-pentamethylpiperidyl)-2-n-butyl-2-(2-hydroxy-3,5-di-tert-butylbenzyl)malonate, 3-n-octyl-7,7,9,9-tetramethyl-1,3,8-triazaspiro[4.5]decane-2,4-dione, bis(1-octyloxy-2,2,6,6-tetramethylpiperidyl)sebacate, bis(1-octyloxy-2,2,6,6-tetramethylpiperidyl)succinate, the condensate of N,N-bis(2,2,6,6-tetramethyl-4-piperidyl)hexamethylenediamine and 4-morpholino-2,6-dichloro-1,3,5-triazine, the condensate of 2-chloro-4,6-di(4-n-butylamino-2,2,6,6-tetramethylpiperidyl)-1,3,5-triazine and 1,2-bis(3-aminopropylamino)ethane, the condensate of 2-chloro-4,6-di(4-n-butylamino-1,2,2,6,6-pentamethylpiperidyl)-1,3,5-triazine and 1,2-bis(3-aminopropylamino)ethane, 8-acetyl-3-dodecyl-7,7,9,9-tetramethyl-1,3,8-triazaspiro[4.5]decane-2,4-dione, 3-dodecyl-1-(2,2,6,6-tetramethyl-4-piperidyl)pyrrolidin-2,5-dione, 3-dodecyl-1-(1,2,2,6,6-pentamethyl-4-

piperidyl)-pyrrolidine-2,5-dione, a mixture of 4-hexadecyloxy- and 4-stearyloxy-2,2,6,6-tetramethylpiperidine, the condensate of N,N'-bis(2,2,6,6-tetramethyl-4-piperidyl)hexamethylenediamine and 4-cyclohexylamino-2,6-dichloro-1,3,5-triazine, the condensate of 1,2-bis(3-aminopropylamino)ethane and 2,4,6-trichloro-1,3,5-triazine and 4-butylamino-2,2,6,6-tetramethylpiperidine (CAS reg. No. [136504-96-6]); (2,2,6,6-tetramethyl-4-piperidyl)-n-dodecylsuccinimide, (1,2,2,6,6-pentamethyl-4-piperidyl)-n-dodecylsuccinimide, 2-undecyl-7,7,9,9-tetramethyl-1-oxa-3,8-diaza-4-oxo-spiro[4,5]decane, the reaction product of 7,7,9,9-tetramethyl-2-cycloundecyl-1-oxa-3,8-diaza-4-oxospiro[4,5]decane and epichlorohydrin, tetra-(2,2,6,6-tetramethylpiperidin-4-yl)-butane-1,2,3,4-tetracarboxylate, tetra(1,2,2,6,6-pentamethylpiperidin-4-yl)-butane-1,2,3,4-tetracarboxylate, 2,2,4,4-tetramethyl-7-oxa-3,20-diaza-21-oxo-dispiro[5.1.11.2]-heneicosan, 8-acetyl-3-dodecyl-1,3,8-triaza-7,7,9,9-tetramethylspiro[4,5]-decane-2,4-dione, or a compound of formulae



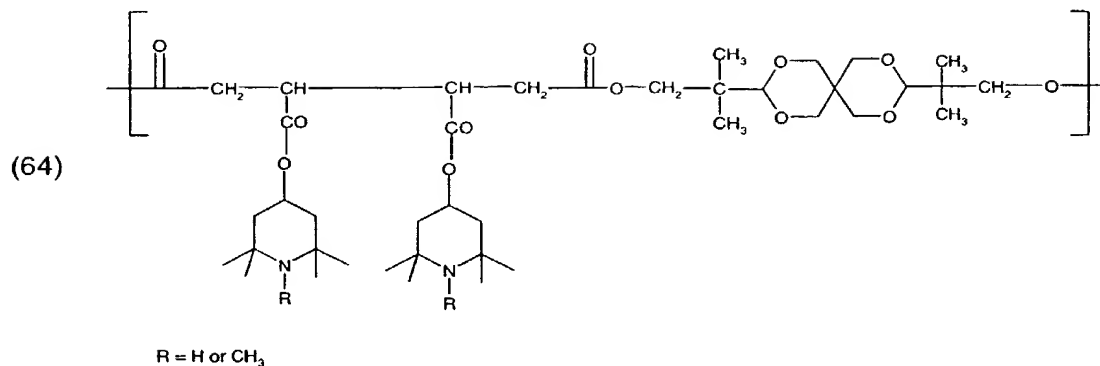
wherein m is a value from 5-50,



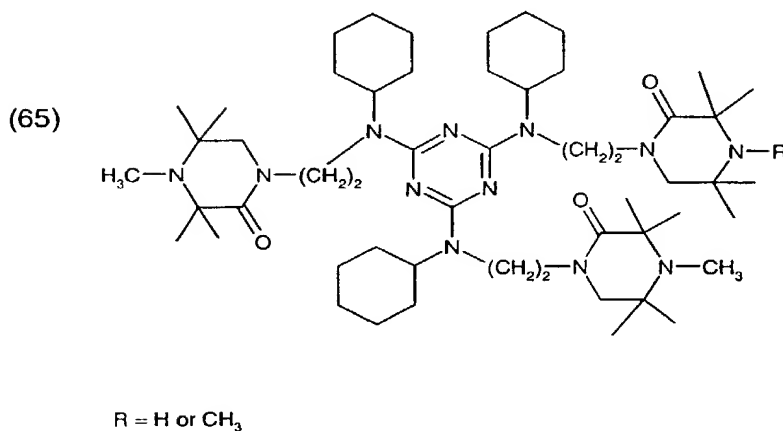
WO 00/25730

PCT/EP99/07980

- 12 -

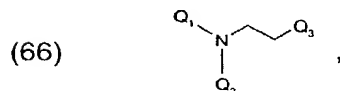


or



The light stabilizers of formulae (1) and (2) can furthermore also be used together with complex formers, in particular nitrogen-containing complex formers, for example ethylenediaminetetracetic acid (EDTA), nitrilotriacetic acid (NTA), β -alaninediacetic acid (EDET) or ethylenediaminedisuccinic acid (EDDS).

Other suitable complex formers conform to formula



wherein

Q₁ is Carb₁; Carb₂; or a radical of formula $-(CH_2)_{m_1}-OH$

Q₂ is hydrogen or Carb₂; and

Q_3 is Carb₃; an amino acid radical; or a radical of formula (66a) $\begin{array}{c} Q_2 \\ | \\ -N \\ | \\ Q_1 \end{array}$,

Carb₁, Carb₂ and Carb₃ being each independently of one another a radical of a C₁-C₈-mono- or dicarboxylic acid; and

m_1 is 1 to 5.

Particularly preferred compounds are those of formula (66), wherein

Q_1 is a monocarboxylic acid; or a radical of formula $-(CH_2)_{m_1}-OH$;

Q_2 is hydrogen or a monocarboxylic acid; and

Q_3 is formula (1b); or a monocarboxylic acid.

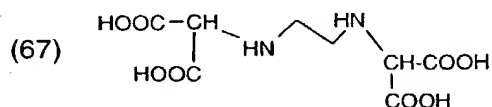
Particularly interesting complex formers are those of formula (66), wherein Carb₂ and Carb₃, are each independently of the other a radical of formula

(66c) $-(CH_2)_{n_1}-COOH$,

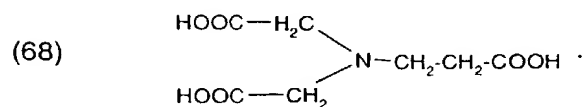
wherein

n_1 is 0 to 5.

Complex formers which are important in practice conform to formula

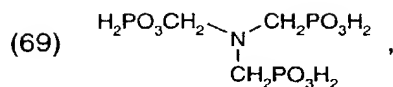


or to formula

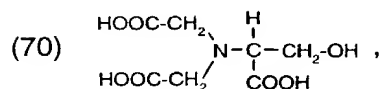


Nitrilotriacetic acid (NTA) is also suitable for use.

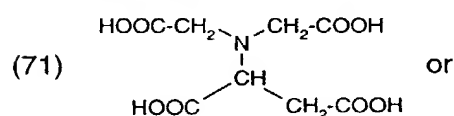
Other examples of inventive complex formers are aminotrimethylenephosphoric acid (ATMP) conforming to formula



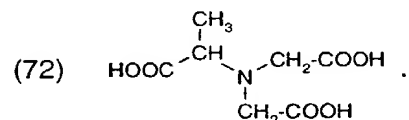
serinediacetic acid (SDA) conforming to formula



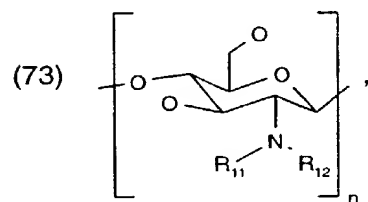
asparaginediacetic acid conforming to formula



methylglycinediacetic acid (MGDA) conforming to formula

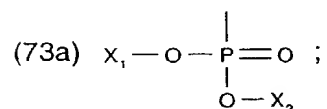


Other suitable complex formers are polyanionically-derived natural polysaccharides, for example containing phosphate, phosphonate or methylphosphonate groups, such as chitin derivatives, e.g. sulfochitin, carboxymethylchitin, phosphochitin, chitosan derivatives, for example sulfochitosan, carboxymethylchitosan or, very particularly preferably, phosphochitosan, which conform to formula



wherein

R_{11} is hydrogen or a radical of formula

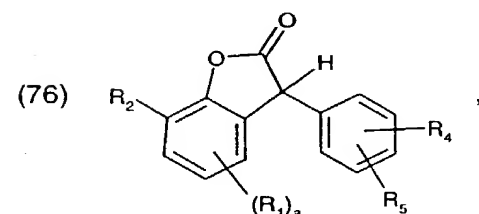
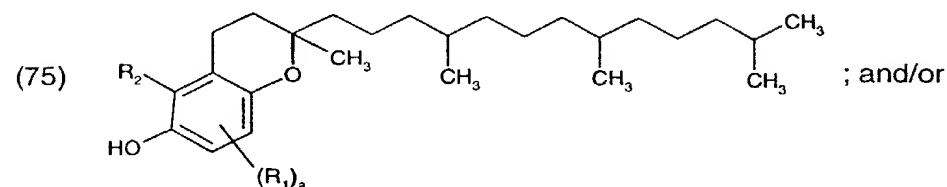
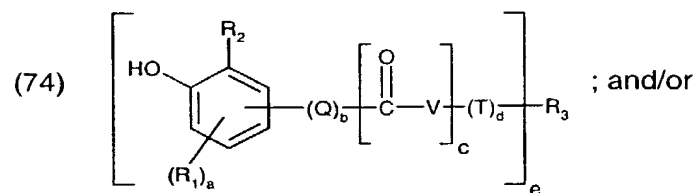


R_{12} is a radical of formula (73a);

X_1 and X_2 are each independently of the other hydrogen, C_1 - C_5 alkyl or an alkali ion or ammonium ion; and

n is 10 to 4000.

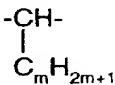
The light stabilizers of formulae (1) and (2) can also be used together with phenolic anti-oxidants of formula

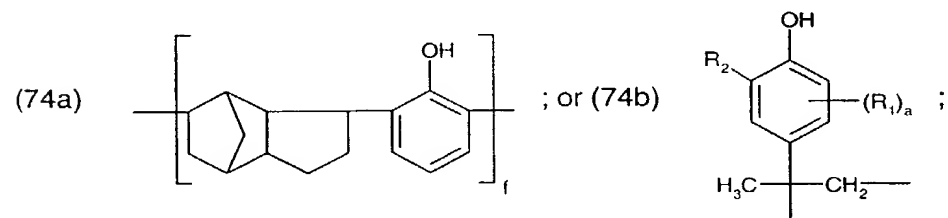


wherein in formulae (72), (73) and (74)

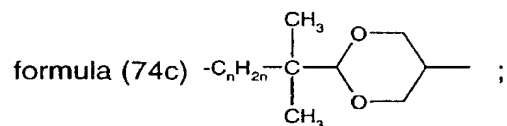
R₁ is hydrogen; C₁-C₂₂alkyl; C₁-C₂₂alkylthio; C₅-C₇cycloalkyl; phenyl; or C₇-C₉phenylalkyl; or SO₃M;

R₂ is C₁-C₂₂alkyl; C₅-C₇cycloalkyl; phenyl; or C₇-C₉phenylalkyl;

Q is -C_mH_{2m}-; ; -C_mH_{2m}-NH; a radical of formula



T is $-C_nH_{2n}-$; $-(CH_2)_n-O-CH_2-$; $-C_nH_{2n}-NH-C(=O)-$; or a radical of



V is $-O-$; or $-NH-$;

a is 0; 1; or 2;

b, c and d are each independently of one another 0; or 1;

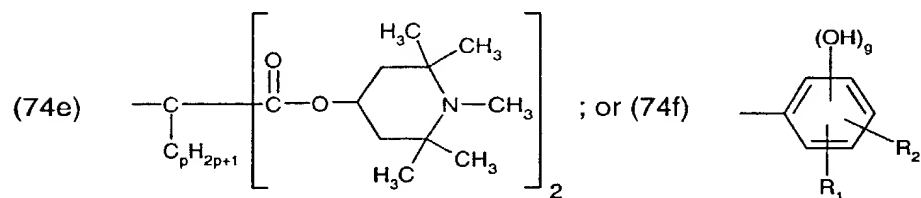
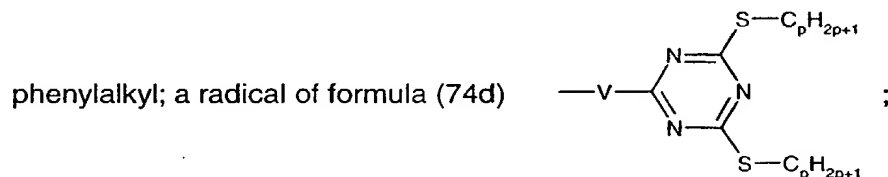
e is an integer from 1 to 4;

f is an integer from 1 to 3; and

m, n and p are each independently of one another an integer from 1 to 3;

if $e = 1$,

R_3 is hydrogen; M; C_1-C_{22} alkyl; C_5-C_7 cycloalkyl; C_1-C_{22} alkylthio; C_2-C_{18} alkenyl; C_1-C_{18} -



M is alkali; ammonium;

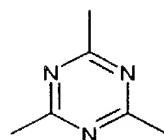
if $e = 2$,

R_3 is a direct bond; $-CH_2-$; $-CH(CH_3)-(CH_2)_p-CH_3$; $-O-$; or $-S-$;

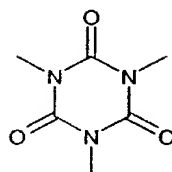
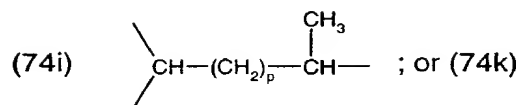
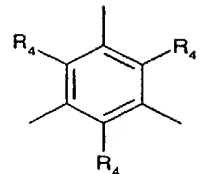
if

$e = 3$,

R_3 is the radical of formula (74g)



; (74h)



;

if

$e = 4$,

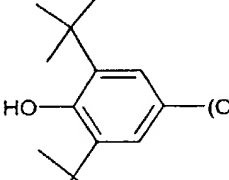
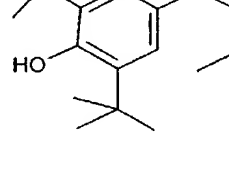
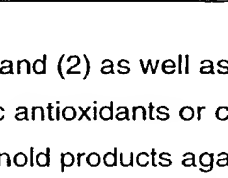
R_3 is ; or a direct bond;

R_4 and R_5 are each independently of the other hydrogen; or C_1 - C_{22} alkyl.

Examples of antioxidants used according to this invention are listed in the following Table:

compound of formula	
(77)	
(78)	

compound of formula	
(92)	<chem>CC(C)(C)c1cc(C(C)(C)C)c(O)c(C(C)(C)C)c1C(=O)OCCCCCCCCCCCCCCC</chem>
(93)	<chem>CC(C)(C)c1cc(C(C)(C)C)c(O)c(C(C)(C)C)c1C(=O)Oc2ccc(C(C)(C)C)cc2</chem>
(94)	<chem>*CC(C)(C)c1cc(C(C)(C)C)c(O)c(C(C)(C)C)c1CC(=O)N*</chem>
(95)	<chem>*CC(C)(C)c1cc(C(C)(C)C)c(O)c(C(C)(C)C)c1CC(=O)OCC(=O)N*</chem>

compound of formula	
(101)	
(102)	
(103)	

The light stabilizers of formulae (1) and (2) as well as mixtures of these compounds with sterically hindered amines, phenolic antioxidants or complex formers are particularly suitable for protecting body-care and household products against photolytic degradation. The compounds or the mixtures of these different compound classes are used in particular in skin-care products, bath and shower additives, preparations containing fragrances and odorous substances, hair-care products, dentifrices, deodorizing and antiperspirant preparations, decorative preparations, light protection formulations and preparations containing active ingredients.

Skin-care products are, in particular, body oils, body lotions, body gels, treatment creams, skin protection ointments, shaving preparations, such as shaving foams or gels, skin

powders, such as baby powder, moisturizing gels, moisturizing sprays, revitalizing body sprays, cellulite gels and peeling preparations.

Suitable bath and shower additives are shower gels, bath-salts, bubble baths and soaps.

Preparations containing fragrances and odoriferous substances are in particular scents, perfumes, toilet waters and shaving lotions (aftershave preparations).

Suitable hair-care products are, for example, shampoos for humans and animals, in particular dogs, hair conditioners, products for styling and treating hair, perming agents, hair sprays and lacquers, hair gels, hair fixatives and hair dyeing or bleaching agents.

Suitable dentifrices are in particular tooth creams, toothpastes, mouth-washes, mouth rinses, anti-plaque preparations and cleaning agents for dentures.

Suitable decorative preparations are in particular lipsticks, nail varnishes, eye shadows, mascaras, dry and moist make-up, rouge, powders, depilatory agents and suntan lotions.

Suitable cosmetic formulations containing active ingredients are in particular hormone preparations, vitamin preparations, vegetable extract preparations and antibacterial preparations.

The cited body-care products can be in the form of creams, ointments, pastes, foams, gels, lotions, powders, make-ups, sprays, sticks or aerosols. They preferably contain the light stabilizers of formulae (1) and/or (2) and, optionally, sterically hindered amines, complexing agents and phenolic antioxidants in the aqueous phase.

This invention therefore also relates to a body-care product containing at least one light stabilizer of formula (1) and/or (2).

The light stabilizer(s) are usually present in the novel body-care product in a concentration of 50 to 1000 ppm.

Creams are oil-in-water emulsions containing more than 50 % of water. The oil-containing base used therein is usually mainly fatty alcohols, for example lauryl, cetyl or stearyl alcohol,

fatty acids, for example palmitic or stearic acid, liquid to solid waxes, for example isopropylmyristate or beeswax and/or hydrocarbon compounds, such as paraffin oil. Suitable emulsifiers are surfactants having primarily hydrophilic properties, such as the corresponding non-ionic emulsifiers, for example fatty acid esters of polyalcohols of ethylene oxide adducts, such as polyglycerol fatty acid ester or polyoxyethylenesorbitan fatty acid ether (Tween trademarks); polyoxyethylene fatty alcohol ether or their esters or the corresponding ionic emulsifiers, such as the alkali metal salts of fatty alcohol sulfonates, sodium cetyl sulfate or sodium stearyl sulfate, which are usually used together with fatty alcohols, such as cetyl alcohol or stearyl alcohol. In addition, creams contain agents which reduce water loss during evaporation, for example polyalcohols, such as glycerol, sorbitol, propylene glycol, and/or polyethylene glycols.

Ointments are water-in-oil emulsions which contain up to 70 %, preferably not more than 20 to 50 %, of water or of an aqueous phase. The oil-containing phase contains predominantly hydrocarbons, such as paraffin oil and/or solid paraffin which preferably contains hydroxy compounds, for example fatty alcohol or their esters, such as cetyl alcohol or wool wax for improving the water absorption. Emulsifiers are corresponding lipophilic substances, such as sorbitan fatty acid ester. In addition, the ointments contain moisturisers such as polyalcohols, for example glycerol, propylene glycol, sorbitol and/or polyethylene glycol as well as preservatives.

Rich creams are anhydrous formulations and are produced on the basis of hydrocarbon compounds, such as paraffin, natural or partially synthetic fats, for example coconut fatty acid triglycerides or preferably hardened oils and glycerol partial fatty acid esters.

Pastes are creams and ointments containing powdered ingredients which absorb secretions, for example metal oxides, such as titanium dioxide or zinc oxide, and also tallow and/or aluminium silicates which bind the moisture or the absorbed secretion.

Foams are liquid oil-in-water emulsions in aerosol form. Hydrocarbon compounds are used, inter alia, for the oil-containing phase, for example paraffin oil, fatty alcohols, such as cetyl alcohol, fatty acid esters, such as isopropylmyristate and/or waxes. Suitable emulsifiers are, inter alia, mixtures of emulsifiers having predominantly hydrophilic properties, for example polyoxyethylenesorbitan fatty acid ester, and also emulsifiers having predominantly lipophilic

properties, for example sorbitan fatty acid ester. Commercially available additives are usually additionally employed, for example preservatives.

Gels are, in particular, aqueous solutions or suspensions of active substances in which gel formers are dispersed or swelled, in particular cellulose ethers, such as methyl cellulose, hydroxyethyl cellulose, carboxymethyl cellulose or vegetable hydrocolloids, for example sodium alginate, tragacanth or gum arabic. The gels preferably additionally contain also polyalcohols, such as propylene glycol or glycerol as moisturizers and wetting agents, such as polyoxyethylenesorbitan fatty acid ester. The gels furthermore contain commercially available preservatives, such as benzyl alcohol, phenethyl alcohol, phenoxyethanol and the like.

Nail-varnishes consist of

- a film forming agent, normally nitro-cellulose;
- a solvent like ethyl acetate, alcohol, toluene, xylene, hexane, heptane, ethyl-isopropyl-, (iso-)butyl- or (iso-)amylacetate, petroleum or ether;
- a resin, like the condensation products of p-toluene sulfonamide and formaldehyde; polyether resins; resins based on polyol dibasic ester; maleic alkyd resins; acrylates; vinylenes or polyamid resins.
- plastizers, for example dibutyl phthalate, camphor, castor oil, acetyltriethyl citrate, diisobutyl adipate, butyl octyladipate, sucrose acetate-isobutyrate and/or ethyltoluene sulfonamide.
- organic and/or inorganic pigments and/or color varnishes, unusually water soluble dyes;
- light stabilizers, antioxidants and sequestering agents .

Wax-containing basics for lipsticks, make-up or hair-wax consist of

- liquid ingredients like castor oil, oleyl alcohol, Lanolin, PEG, PPG and esters thereof, like isopropyl myristate and/or silicones like dimethyl silicone, unusually also water,
- waxes like bees wax, ozokerit, ceresine, carnauba, candelilla or paraffin waxes.
- organic and/or inorganic pigments and/or color varnishes, also water soluble dyes, for example dyes of the Eosin-type, but also all other water soluble dyes as well as oil soluble dyes;
- dressing for hair/lips or sparkling effect pigments (for example bismuth oxychloride or optical effect pigments);
- perfumes;

- for basic products inorganic (NaOH or KOH) or organic bases;
- abrasives for improved cleaning of surfaces,
- waxes and/or silicones for maintenance and protection of surfaces,
- polyphosphates,
- substances which eliminate hypochlorite or halogens;
- peroxides comprising bleaching activators like TAED, for example sodium perborate or H_2O_2 ;
- enzymes;
- in washing detergents discoloration inhibitors, soil-release compounds, grey scale inhibitors, foam inhibitors, fluorescent whitening agents;
- cleaning agents based on wax may comprise solvents selected from benzine, turpentine and/or paraffines and emulsifiers based on wax;
- filling agents like silicates, polyphosphates, Zeolithes for powdery cleaning agents;
- pigments, lakes or soluble dyes;
- perfumes; and
- light stabilizers, antioxidants and chelating agents.

Colored cleaning agents and decorative cosmetic products can comprise the following dyes:

- inorganic pigments, for example iron oxide (Iron Oxide Red, Iron Oxide Yellow, Iron Oxide Black, etc.), Ultramarines, Chromium Oxide Green or Carbon Black;
- natural or synthetic organic pigments;
- disperse dyes which may be solubilized in solvents like direct hair dyes of the HC type, for example HC Red No. 3, HC Blue No. 2 and all other hair dyes listed in International Cosmetic Ingredient Dictionary and Handbook, 7th edition 1997) or the dispersion dyes listed in Color Index International or Society of Dyers and Colourists;
- color varnishes (insoluble salts of soluble dyes, like many Ca-, Ba- or Al-salts of anionic dyes);
- soluble anionic or cationic dyes, like acid dyes (anionic), basic dyes (cationic), direct dyes, reactive dyes or solvent dyes.

Generally, for the coloration of household- and body care products all substances are suitable which have an absorption in the visible light of electromagnetic radiation (wave length of ca. 4000 to 700 nm). The absorption is often caused by the following chromophores:

Azo- (mono-, di, tris-, or poly-)stilbene-, carotenoide-, diarylmethan-, triarylmethan-, xanthen-, acridin-, quinoline, methin- (also polymethin-), thiazol-, indamin-, indophenol-, azin-, oxazin, thiazin-, anthraquinone-, indigoid-, phtalocyanine- and further synthetic, natural and/or inorganic chromophores.

Typical examples of novel household cleaning and treating agents are:

<u>Household cleaners/household treating agents</u>	<u>Ingredients</u>
detergent concentrate	surfactant mixture, ethanol, light stabilizer of formulae (1) and (2), water
shoe polish	wax, wax emulsifier, light stabilizer of formulae (1) and (2), water, preservative
wax-containing floor cleaning agent	emulsifier, wax, sodium chloride, light stabiliser of formulae (1) and (2), water, preservative

The light stabilizers are usually incorporated by dissolution in a liquid formulation component (oil, water, alcohol).

Suitable examples for surfactants used are anionic, nonionic, cationic, zwitterionic and amphoteric synthetic, deterative substances.

Suitable anionic deterative substances are

- sulfates, such as, for example, fatty alcohol sulfates whose alkyl chain has from 8 to 18 carbon atoms, such as, for example, sulfated lauryl alcohol;
- fatty alcohol ether sulfates, such as, for example, the acid esters or salts thereof of a polyadduct of from 2 to 30 mol of ethylene oxide with 1 mol of a C₈-C₂₂ fatty alcohol;
- the alkali metal salts, ammonium salts or amine salts of C₈-C₂₀-fatty acids referred to as soaps, such as, for example, coconut fatty acid;
- alkylamidiosulfates;
- alkylaminosulfates, such as, for example, monoethanolamine lauryl sulfate;
- alkylamide ether sulfates;

Other anionic surfactants used are fatty acid methyl taurides, alkylisethionates, fatty acid polypeptide condensates and fatty alcohol phosphoric esters. The alkyl radicals in these compounds preferably have from 8 to 24 carbon atoms.

The anionic surfactants are generally in the form of their water-soluble salts, such as the alkali metal salts, ammonium salts or amine salts. Examples of such salts are lithium, sodium, potassium, ammonium, triethylamine, ethanolamine, diethanolamine or triethanolamine salts. In particular, the sodium, potassium or ammonium ($\text{NR}_1\text{R}_2\text{R}_3$) salts are used, in which R_1 , R_2 and R_3 independently of one another are hydrogen, C_1 - C_4 alkyl or C_1 - C_4 hydroxyalkyl.

Very particularly preferred anionic surfactants are monoethanolamine lauryl sulfate or the alkali metal salts of fatty alcohol sulfates, in particular sodium lauryl sulfate and the product of the reaction between from 2 to 4 mol of ethylene oxide and sodium lauryl ether sulfate.

Suitable zwitterionic and amphoteric surfactants are C_8 - C_{18} betaines, C_8 - C_{18} sulfobetaines, C_8 - C_{24} alkylamido- C_1 - C_4 alkylenebetaines, imidazoline carboxylates, alkylamphocarboxy-carboxylic acids, alkylamphocarboxylic acids (e.g. lauroamphoglycinate) and N-alkyl- β -aminopropionates or -iminodipropionates, the C_{10} - C_{20} alkylamido- C_1 - C_4 alkylenebetaines and, in particular, coconut fatty acid amidopropylbetaine being preferred.

Examples of nonionic surfactants are derivatives of the adducts of propylene oxide/ethylene oxide having a molecular weight of from 1000 to 15,000, fatty alcohol ethoxylates (1-50 EO), alkylphenol polyglycol ethers (1-50 EO), ethoxylated carbohydrates, fatty acid glycol partial esters, such as, for example, diethylene glycol monostearate, fatty acid alkanolamides and dialkanolamides, fatty acid alkanolamide ethoxylates and fatty amine oxides.

Furthermore, the surfactants may be the salts of saturated and unsaturated C_8 - C_{22} fatty acids either alone, as a mixture with one another or as a mixture with the other deterative substances mentioned above. Examples of these fatty acids are capric, lauric, myristic, palmitic, stearic, arachidic, behenic, caproic, dodecenoic, tetradecenoic, octadecenoic, oleic, eicosenoic and erucic acid, and the technical-grade mixtures of such acids, such as, for example, coconut fatty acid. These acids are in the form of salts, suitable cations being alkali metal cations, such as sodium and potassium cations, metal atoms, such as zinc and

aluminium atoms, or sufficiently alkaline, nitrogen-containing organic compounds, such as amines or ethoxylated amines. These salts can also be prepared in situ.

Examples for cationic surfactants and conditioning agents which can be used for shampoos and hair conditioners are:

- cetyl trimethyl ammonium bromide (CTAB)
- dimethicone copolyols
- amidomethicones
- acrylamidopropyltrimonium chloride/Acrylamide copolymer
- guar hydroxypropyl trimonium chloride
- hydroxycetyl hydroxyethyl dimonium chloride
- quaternium compounds as listed in International Cosmetic Ingredient Dictionary and Handbook, 7th Edition 1997, for example Quaternium-80.
- polyquaternium compounds, as listed in International Cosmetic Ingredient Dictionary and Handbook, 7th Edition 1997, for example polyquaternium- 5, polyquaternium-6, polyquaternium-7, polyquaternium-10, polyquaternium-11, polyquaternium-17, polyquaternium-18, polyquaternium-24 or polyquaternium-27, polyquaternium-28, polyquaternium-32, polyquaternium-37.

The surfactant is preferably a soap, i.e. a branched or unbranched long-chain alkyl- or alkenyl-carboxylic acid salt, such as, for example, the sodium, potassium, ammonium or substituted ammonium salt.

The following Examples illustrate the invention.

Preparation of formulations of body-care products

Example 1: Preparation of a sprayable hair styling gel:

<u>Phase</u>	<u>Ingredients</u>	<u>(w/w) %</u>
A	carbomer (1% dispersion)	0.30
	water, demin.	30.00
B	glycerol	2.00
	methylparaben	0.20
C	water, demin.	ad 100
	PVP/VA copolymer	8.00
	triethanolamine (88%)	0.12
	EDTA, disodium salt	0.01
	light stabilizer of formula (53)	0.01

Preparation: The components (A) are dispersed at room temperature. (B) is mixed, with heating, until the paraben is completely dissolved and then (B) is added with gentle stirring to (A). (C) is blended until it is completely dissolved and is slowly added, with stirring, to the mixture of (A) and (B). The transparency of the gel can be increased by adding small amounts of triethanolamine (pH=5.6-5.75).

Example 2: Preparation of a baby shampoo:

<u>Ingredients</u>	<u>(w/w) %</u>
cocoamidopropylbetaine	35.00
water, demin.	ad.100
citric acid	q.s. (pH)
polyquaternium-15	0.15
perfume oil	0.30
chlorophyll	0.20
light stabilizer of formula (54)	0.15
antioxidant of formula (102)	0.05
colorant (D&C Yellow No.5)	0.02
sodium chloride	0.30

Preparation: Surfactant and water are blended until a homogeneous solution is obtained. The pH is adjusted to 6.0-6.5 with citric acid and the other components are added in the indicated sequence. The mixture is stirred until it is completely dissolved.

Example 3: Preparation of a perfumed toilet water:

<u>Ingredients</u>	<u>(w/w) %</u>
ethanol, 96%	60
d-limonene	5
cedrene	1.5
citronellol	0.5
savin	0.5
light stabilizer of formula (53)	0.25
antioxidant of formula (99)	0.10
S,S-EDDS	0.01
colorant (D&C Yellow No.5)	0.1
water	ad. 100

Preparation: The components are thoroughly mixed in the indicated sequence at 50°C. A clear homogeneous solution is obtained.

Preparation of formulations of household products

Example 4: Preparation of a green-colored glass detergent:

<u>Ingredients</u>	<u>(w/w) %</u>
anionic / amphoteric surfactants (Lumolol RK)	0.7
butyl glycol	5.0
isopropanol	20.0
d-limonene	4.00
colorant (D&C Green No.2)	0.05
light stabilizer of formula (54)	0.10
water, demin.	ad. 100

Preparation: The components are dissolved in the indicated sequence until a clear homogeneous mixture is obtained.

Example 5: Preparation of a floor wax

<u>Ingredients</u>	<u>(w/w) %</u>
wax mixture	12
white spirit	ad. 100
d-limonene	4.00
light stabiliser of formula (55)	0.10

Preparation: The components are stirred in the indicated sequence until a homogeneous mixture is obtained.

Example 6: Preparation of a lipstick, non-greasy

<u>Ingredients</u>	<u>(w/w) %</u>
Carnauba wax	2.5
Beeswax, white	20.0
Ozokerite	10.0
Lanoline, anhydrous	5.0
Cetyl alcohol	2.0
Liquid paraffin	3.0
Isopropyl Myristate	3.0
Propylene glycol recinoleate	4.0
CI Pigment Red 4	9.0
CI Pigment Blue 15	1.0
Light stabilizer of formula (55)	0.1
Castor Oil	to 100

Example 7: Preparation of a lipstick, transfer resistant

<u>Ingredients</u>	<u>(w/w) %</u>
Cyclomethicone	41.50
Isodecane	10.00
D&C Red No. 7	8.00
Synthetic wax	6.00
Isostearyltrimethylpropane siloxysilicate	5.00
Cetylstearate/acetylated lanolin, 90:10	5.00
Ceresin	4.00
Paraffin	3.00
Titanium dioxide	2.00
Methylparaben	0.30
Propylparaben	0.10
Antioxidant of formula (104)	0.10
light stabilizer of formula (54)	0.10

Example 8: Preparation of a Rouge (powder)

<u>Ingredients</u>	<u>(w/w) %</u>
Talc	56
Zinc Stearate	15
Rice starch	15
Iron Oxide Red	12
Perfume	q.s.
light stabilizer of formula (53)	0.1

WO 00/25730

PCT/EP99/07980

- 37 -

Example 9: Preparation of a Foundation cream

<u>Ingredients</u>	<u>(w/w) %</u>
Titanium dioxide	12.79
Oleyl alcohol	4.57
Glyceryl stearate	3.65
Propylene glycol	3.65
Stearic acid	1.83
Magnesium aluminium silicate	0.91
Triethanolamine 99%	0.91
Iron Oxide Yellow	0.64
Iron Oxide Red	0.32
CI Pigment Brown 6	0.37
Carboxymethyl cellulose	0.10
light stabilizer of formula (53)	0.10
Water	to 100

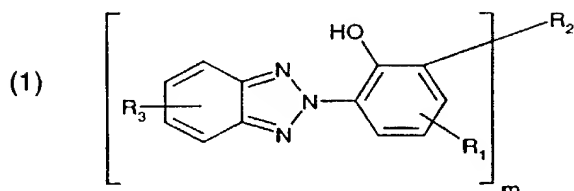
<u>Ingredients</u>	<u>(w/w) %</u>
Paraffin Wax	10.00
Starch	5.00
Polyethylene	5.00
Iron Oxide Black	7.00
Carbomer (Carbopol, BFGoodrich)	0.50
Hydroxyethylcellulose	0.50
Panthenol	2.00
Light stabilizer of formula (53)	0.10
Water	to 100

Example 12: Preparation of a Nail Varnish

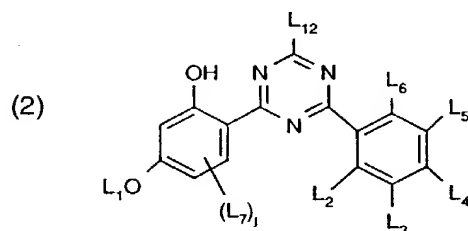
<u>Ingredients</u>	<u>(w/w) %</u>
Poly(1-trimethylsilylpropylene)	0.30
Nitrocellulose	12.00
Alkyd resin	10.00
Dibutyl phthalate	4.00
Camphor	2.00
Butyl acetate	49.50
Toluene	20.00
Pigment Red 57.1	1.00
Quaternary bentonite	1.00
Light stabilizer of formula (54)	0.10
Antioxidant of formula (103)	0.10

What is claimed is:

1. Use of a benzotriazole of formula

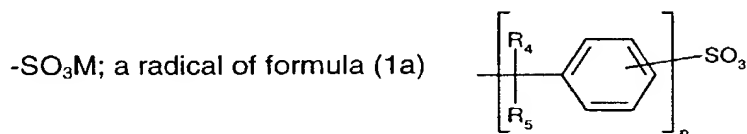


and/or a triazine compound of formula



wherein

R₁ is C₁-C₁₂alkyl; C₁-C₅alkoxy; C₁-C₅alkoxycarbonyl; C₅-C₇cycloalkyl; C₆-C₁₀aryl; aralkyl;



R₈ is hydrogen; C₁-C₅alkyl; C₁-C₅alkoxy; halogen, preferably Cl; or hydroxy;

R₄ and R₅ are each independently of the other hydrogen; or C₁-C₅alkyl;

m is 1 or 2;

n is 0 or 1;

if m = 1,

R₂ is hydrogen; unsubstituted or phenyl-substituted C₁-C₁₂alkyl; C₆-C₁₀aryl;

if m = 2,

R₂ is a direct bond; -(CH₂)_p-; and

p is 1 to 3;

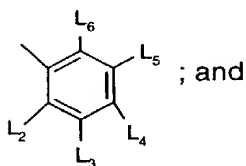
L₁ is C₁-C₂₂alkyl, C₂-C₂₂alkenyl or C₅-C₇cycloalkyl;

L₂ and L₆ are each independently of the other H, OH, halogen, C₁-C₂₂alkyl, halomethyl;

L₃, L₅ and L₇ are each independently of one another H, OH, OL₁, halogen, C₁-C₂₂alkyl, halomethyl;

L₄ is H, OH, OL₁, halogen, C₁-C₂₂alkyl, phenyl, halomethyl;

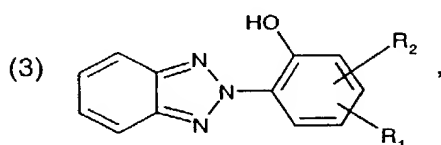
L_{12} is C_1 - C_{22} alkyl, phenyl C_1 - C_5 alkyl, C_5 - C_7 cycloalkyl, OL_1 or, preferably, a group of formula



j is 0, 1, 2 or 3,

for protecting body-care and household products from photolytic degradation.

2. Use according to claim 1, which comprises using a benzotriazole of formula

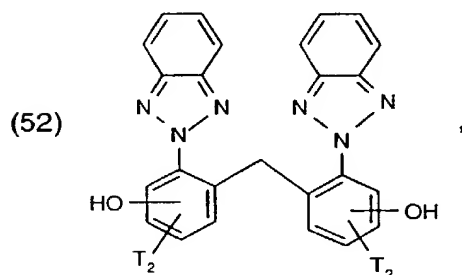


wherein

R₁ is C₁-C₅alkyl; and

R_2 is SO_3M .

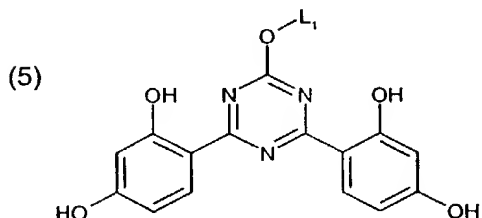
3. Use according to claim 1, which comprises using a benzotriazole of formula



wherein

T₂ is hydrogen; or C₁-C₁₂alkyl.

4. Use according to claim 1, which comprises using a triazine compound of formula



wherein

L₁ is C₂-C₃₀alkyl; C₂-C₃₀alkenyl; C₅-C₁₂cycloalkyl, C₁-C₅alkoxy-C₁-C₁₂alkyl which is unsubstituted or substituted by one or several C₁-C₅alkyl; amino-C₁-C₁₂alkyl; C₁-C₅monoalkylamino-C₁-C₁₂alkyl; C₁-C₅dialkylamino-C₁-C₁₂alkyl.

5. Use of the light stabilizer according to any one of claims 1 to 4 in body-care products for the skin and its adnexa.

6. Use according to claim 5, wherein the body-care products are selected from skin-care products, bath and shower additives, preparations containing fragrances and odoriferous substances, hair-care products, dentifrices, deodorizing and antiperspirant preparations, decorative preparations, light protection formulations and preparations containing active ingredients.

7. Use according to claim 6, wherein the skin-care products are selected from body oils, body lotions, body gels, treatment creams, skin protection ointments, shaving preparations and skin powders.

8. Use according to claim 6, wherein the preparations containing fragrances and odoriferous substances are selected from scents, perfumes, toilet waters and shaving lotions (aftershave preparations).

9. Use according to claim 6, wherein the hair-care products are selected from shampoos, hair conditioners, products for styling and treating hair, perming agents, hair sprays and lacquers, hair gels, hair fixatives and hair dyeing or bleaching agents.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

13 43 63 75 87 99 00 11 23 35 47 59 71 83 95 07 19



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : A61K 7/00, C11D 1/00	A1	(11) International Publication Number: WO 00/25730 (43) International Publication Date: 11 May 2000 (11.05.00)
(21) International Application Number: PCT/EP99/07980 (22) International Filing Date: 21 October 1999 (21.10.99) (30) Priority Data: 60/106,633 2 November 1998 (02.11.98) US (71) Applicant (for all designated States except US): CIBA SPECIALTY CHEMICALS HOLDING INC. [CH/CH]; Klybeckstrasse 141, CH-4057 Basel (CH). (72) Inventors; and (75) Inventors/Applicants (for US only): HÜGLIN, Dietmar [DE/DE]; Dorfstrasse 3, D-79591 Eimeldingen (DE). EHLIS, Thomas [DE/DE]; Ferdinand-Weiss-Strasse 30, D-79106 Freiburg (DE). KRAMER, Erich [AT/CH]; Jägerstrasse 10, CH-4058 Basel (CH). LUPIA, Joseph, Anthony [US/US]; 8511 Quail Creek Drive, Colfax, NC 27235 (US). REICH, Oliver [DE/DE]; Hardstrasse 28, D-79639 Grenzach-Wyhlen (DE). (74) Common Representative: CIBA SPECIALTY CHEMICALS HOLDING INC.; Patentabteilung, Klybeckstrasse 141, CH-4057 Basel (CH).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: STABILIZATION OF BODY-CARE AND HOUSEHOLD PRODUCTS (57) Abstract A description is given of the use of light stabilizers of formulae (1) and/or (2) for protecting body-care and household products from photolytic degradation.		



09030713.072501

HP/2-21868/US/A

DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS

☒ Original ☐ Supplemental ☐ Substitute ☒ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Stabilization of body-care and household products

which is described and claimed in:

- ☐ the attached specification.
- ☐ the specification in U.S. Application No. _____, and as amended on _____ (if applicable).
(day/month/year) (day/month/year)
- ☒ the specification in International Application No. **PCT/EP 99/07980**
filed **21/10/99**
(day/month/year)
- assigned U.S. Application No. _____ (if applicable), and as amended
- ☐ under PCT Article 19 on _____ (if applicable)
(day/month/year)
- ☐ under PCT Article 34 on _____ (if applicable)
(day/month/year)
- ☐ and further amended on _____ (if applicable)
(day/month/year)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

COUNTRY/REGION (OR PCT)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119 (e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (day/month/year)
60/106,633	02/11/98

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States listed below and, insofar as the application discloses and claims subject matter in addition to that disclosed in the prior copending application, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. APPLICATION No.	FILING DATE (day/month/year)	STATUS		
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned

PCT APPLICATION No. (designating the U.S.)	INTERNATIONAL FILING DATE (day/month/year)	U.S. APPLICATION No. (if any)	STATUS
			<input type="checkbox"/> Patented
			<input type="checkbox"/> Pending
			<input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and agents, associated with Customer No. 000324, each of them with full power of substitution, revocation and appointment of associates, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

6 Luther A. R. Hall (Reg. No. 27,337), JoAnn L. Villamizar (Reg. No. 30,598), Kevin T. Mansfield (Reg. No. 31,635), David R. Crichton (Reg. No. 37,300), Michele A. Kovaleski (Reg. No. 37,865) and Tyler A. Stevenson (Reg. No. 46,388).

Address all correspondence associated with Customer No. 000324 to **Ciba Specialty Chemicals Corporation, Patent Department, 540 White Plains Road, P.O. Box 2005, Tarrytown, NY 10591-9005.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00
Full name of sole
or first joint inventor Dietmar HÜGLIN

Inventor's signature

Dietmar Hüglin

Date 19/04/2001
(day/month/year)

Residence

Dorfstrasse 3
79591 Eimeldingen
Germany

DEX

Citizenship

German

Post Office Address

same as above

2-00

Full name of second joint inventor, if any Thomas EHLIS

Inventor's signature  Date 18.04.2001
(day/month/year)


Residence Ferdinand-Weiss-Strasse 30
79106 Freiburg
Germany DEX

Citizenship German

Post Office Address same as above

3-00

Full name of third joint inventor, if any Erich KRAMER

Inventor's signature  Date 9.4.2001
(day/month/year)

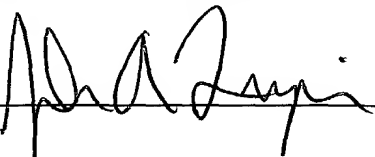
Residence Jägerstrasse 10
4058 Basel
Switzerland CHX

Citizenship Austrian

Post Office Address same as above

4-00

Full name of fourth joint inventor, if any Joseph Anthony LUPIA

Inventor's signature  Date 20/05/2001
(day/month/year)

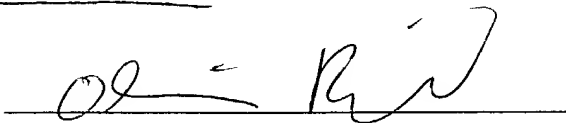
Residence 8511 Quail Creek Drive
Colfax, NC 27235
USA NC

Citizenship American

Post Office Address same as above

5.00 Full name of second
joint inventor, if any Oliver REICH

Inventor's signature



Date

19.04.2001
(day/month/year)

Residence

Hardstrasse 28

79639 Grenzach-Wyhlen

Germany

DEX

Citizenship

German

Post Office Address

same as above

Full name of third
joint inventor, if any

Inventor's signature

Date

(day/month/year)

Residence

Citizenship

Post Office Address

same as above

Full name of fourth
joint inventor, if any

Inventor's signature

Date

(day/month/year)

Residence

Citizenship

Post Office Address

same as above